

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2002</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p>		Complete if Known	
TOTAL AMOUNT OF PAYMENT (\$) 493.00		Application Number	Filing Date 12/21/01
		First Named Inventor Edward L. Boudreau	Examiner Name
		Group Art Unit	Attorney Docket Number 5892P001

<p>METHOD OF PAYMENT (check one)</p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 02-2666</p> <p>Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>FEE CALCULATION</p> <p>1. FILING FEE</p> <table style="width: 100%; font-size: small;"> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>101</td> <td>201</td> <td>740 370</td> <td></td> <td>Utility filing fee</td> <td style="border: 1px solid black; text-align: right;">\$370</td> </tr> <tr> <td>106</td> <td>206</td> <td>330 165</td> <td></td> <td>Design filing fee</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td>107</td> <td>207</td> <td>510 255</td> <td></td> <td>Plant filing fee</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td>108</td> <td>208</td> <td>740 370</td> <td></td> <td>Reissue filing fee</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td>114</td> <td>214</td> <td>160 80</td> <td></td> <td>Provisional filing fee</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td style="border: 1px solid black; text-align: right;">(\$) 370.00</td> </tr> </table> <p>2. EXTRA CLAIM FEES</p> <table style="width: 100%; font-size: small;"> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> <tr> <td>29</td> <td>-20** = 9</td> <td>X \$9.00 =</td> <td>81.00</td> </tr> <tr> <td>4</td> <td>-3** = 1</td> <td>X \$42.00 =</td> <td>42.00</td> </tr> <tr> <td colspan="3"></td> <td style="border: 1px solid black; text-align: right;"></td> </tr> </table> <p>Multiple Dependent</p> <table style="width: 100%; font-size: small;"> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>103</td> <td>203</td> <td>18 9</td> <td></td> <td>Claims in excess of 20</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td>102</td> <td>202</td> <td>84 42</td> <td></td> <td>Independent claims in excess of 3</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td>104</td> <td>204</td> <td>280 140</td> <td></td> <td>Multiple Dependent claim</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td>109</td> <td>209</td> <td>84 42</td> <td></td> <td>**Reissue independent claims over original patent</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td>110</td> <td>210</td> <td>18 9</td> <td></td> <td>**Reissue claims in excess of 20 and over original patent</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (2)</td> <td style="border: 1px solid black; text-align: right;">(\$) 123.00</td> </tr> </table> <p><small>*or number of previously paid, if greater, For Reissues, see above</small></p>	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	101	201	740 370		Utility filing fee	\$370	106	206	330 165		Design filing fee		107	207	510 255		Plant filing fee		108	208	740 370		Reissue filing fee		114	214	160 80		Provisional filing fee		SUBTOTAL (1)					(\$) 370.00	Total Claims	Extra Claims	Fee from below	Fee Paid	29	-20** = 9	X \$9.00 =	81.00	4	-3** = 1	X \$42.00 =	42.00					Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	103	203	18 9		Claims in excess of 20		102	202	84 42		Independent claims in excess of 3		104	204	280 140		Multiple Dependent claim		109	209	84 42		**Reissue independent claims over original patent		110	210	18 9		**Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					(\$) 123.00	<p>FEE CALCULATION (continued)</p> <p>3. ADDITIONAL FEE</p> <table style="width: 100%; font-size: small;"> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>105</td> <td>205</td> <td>130 65</td> <td></td> <td>Surcharge - late filing fee or oath</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td>127</td> <td>227</td> <td>50 25</td> <td></td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td>139</td> <td>139</td> <td>130 130</td> <td></td> <td>Non-English specification</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td>147</td> <td>147</td> <td>2,520 2,520</td> <td></td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td style="border: 1px solid black; text-align: right;"></td> </tr> <tr> <td>112</td> <td>112</td> <td>920 920</td> <td></td> <td>Requesting publication of SIR prior to Examiner action</td> <td style="border: 1px solid black; 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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	William Thomas Babbitt, Reg. No. 39,591			Reg. Number	_____
Signature		Date	12/21/01	Deposit Account User ID	02-2666

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

12.28.01

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Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5892P001

First Inventor or Application Identifier Edward L. Boudreau

Title OIL RECOVERY COMPOSITION AND METHOD

Express Mail Label No. EL651821275US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification *Total Pages*
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) *Total Sheets*
5. Oath or Declaration *Total Pages*
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CFR)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 USC 122(b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____/_____

Prior application Information: Examiner _____

Group/Art Unit: _____

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label



08791

or ☐ Correspondence address below

Name

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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William Thomas Babbitt, Reg. No. 39,591

Signature

William T. Babbitt

Date

12/21/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **493.00**

Complete if Known

Application Number	
Filing Date	12/21/01
First Named Inventor	Edward L. Boudreau
Examiner Name	
Group Art Unit	
Attorney Docket Number	5892P001

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

- ☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	\$370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) **370.00**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
29	-20** = 9	X \$9.00 =	81.00
4	-3** = 1	X \$42.00 =	42.00
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple Dependent claim
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **123.00**

**or number of previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	920	217	460	Extension for response within third month	
118	1,440	218	720	Extension for response within fourth month	
128	1,960	228	980	Extension for response within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidably	
141	1,280	241	640	Petition to revive - unintentionally	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) _____

SUBMITTED BY

Typed or Printed Name	William Thomas Babbitt, Reg. No. 39,591	Complete (if applicable)	
Signature	<i>William T. Babbitt</i>	Reg. Number	
Date	12/21/01	Deposit Account User ID	02-2666

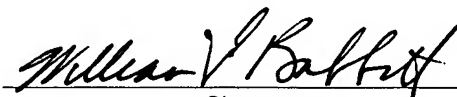
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122 (B)(2)(B)(i)	First Named Inventor	Edward L. Boudreau
	Title	OIL RECOVERY COMPOSITION AND METHOD
	Attorney Docket No	5892P001

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/21/01

Date



Signature

William Thomas Babbitt, Reg. No. 39,591

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**